

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

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November 1, 2002

Date

Shelley P.M. Fussey

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Arun K. Roy, Yan Lavrovsky, Rakesh K. Tyagi, Chung S. Song, Bandana Chatterjee and Shuo Chen

Serial No.: 10/009,420

Filed: December 4, 2001

For: Estrogen Receptor Site-Specific Ribozymes

and Uses Thereof for Estrogen Dependent

Tumors

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: 4003.002300

STATEMENTS AS REQUIRED UNDER 37 C.F.R. §§ 1.825(a) and (b) AND STATEMENT AS REQUIRED UNDER 37 C.F.R. §§ 1.821(f) and (g)

BOX SEQUENCE

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith is a substitute computer readable form of the sequence listing of those sequences in the captioned patent application. Also submitted is a substitute paper copy of the sequence listing. The substitute computer readable form of the sequence listing is the same as the substitute paper copy of the sequence listing. The sequence information provided in the specification and drawings is also the same as the sequence listing of the enclosed substitute computer readable and paper forms of the sequence listing.

In accordance with 37 C.F.R. § 1.821(g), no new matter is included with this submission.

Respectfully submitted,

Shelley P.M. Fussey Reg. No. 39,458 Agent for Applicants

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Date: November 1, 2002